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PATENT, TRADEMARK AND COPYRIGHT LAW  
AND RELATED FEDERAL AND ITC LITIGATION



DOCKET NO: 4947-0074-2

ATTN: APPLICATION BRANCH

**THIS IS A RESPONSE TO A  
NOTICE TO FILE MISSING PARTS OF APPLICATION**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Inventor: Toshiaki KAKUTANI  
Serial No.: 09/339,959  
Filed: June 25, 1999  
For: PRINTER-SYSTEM, METHOD OF PRINTING,  
AND RECORDING MEDIUM FOR IMPLEMENTING  
THE METHOD

SIR:

Attached hereto for filing are the following papers:

**Notice to File Missing Parts  
Filing of Declaration/Declaration  
IDS/Related/List of Related Cases**

**Request for Corrected Official Filing Receipt w/Attachment**

Our check in the amount of \$25.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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Attorney of Record  
Registration No. 24,913

Robert F. Gnuss  
Registration Number 27,295

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† JAPANESE PATENT ATTORNEY  
\* REGISTERED PATENT AGENT

DOCKET NUMBER: 4947-0074-2/tsh

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:  
Toshiaki KAKUTANI

SERIAL NUMBER: 09/339,959

FILED: June 25, 1999



: GROUP: 2853

: ATTENTION:  
Application Division  
Customer Corrections

FOR: PRINTER-SYSTEM, METHOD OF PRINTING, AND RECORDING MEDIUM FOR  
IMPLEMENTING THE METHOD

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The Patent Office is requested to provide a corrected Official Filing Receipt for the attached. If you have any questions, please do not hesitate to contact us.

A check for \$25.00 is submitted to cover the required fee. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit the difference to our Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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~~Robert F. Gnuso~~  
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FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/339,959	06/25/99	2853	\$1,124.00	4947-0074-2	17	15	6

OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT  
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ARLINGTON VA 22202



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) TOSHIAKI KAKUTANI, NAGANO-KU, JAPAN.

FOREIGN APPLICATIONS- JAPAN

10-196793

06/26/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 07/26/99  
TITLE

PRINTER-SYSTEM, METHOD OF PRINTING, AND RECORDING MEDIUM FOR  
IMPLEMENTING THE METHOD

PRELIMINARY CLASS: 347

PLEASE NOTE THAT THE APPLICANT'S INFORMATION IS INCORRECT, IT SHOULD  
READ AS FOLLOWS:

TOSHIAKI KAKUTANI, NAGANO-KEN, JAPAN

RECEIVED

JUL 28 1999

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

DATA ENTRY BY: LADRINGAN, JUDITH TEAM: 06 DATE: 07/26/99

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

(See reverse for new important information)

Docket No. 4947-0074-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Toshiaki KAKUTANI

SERIAL NO.: 09/339,959

FILED: June 25, 1999



ATTN: APPLICATION PROCESSING  
DIVISION

FOR: PRINTER-SYSTEM, METHOD OF  
PRINTING, AND RECORDING MEDIUM  
FOR IMPLEMENTING THE METHOD

FILING OF DECLARATION UNDER 37 CFR 1.53(F)

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Responsive to the notification dated July 27, 1999, and in accordance with the provisions of 37 CFR 1.53(f), Applicants submit herewith a Rule 63 Declaration.

The required fee was paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P. C.

Marvin J. Spivak  
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MJS:ctp



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

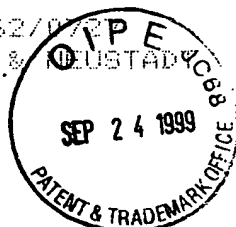
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/333,959 06/25/99 KAKUTANI T 4947-0074-2

OBLON SPIVAK MCCLELLAND MAIER & MUSTADY  
FOURTH FLOOR  
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ARLINGTON VA 22202



NOT ASSIGNED

2853

DATE MAILED:

07/27/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ pre-paid.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**